U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION

Attorney Docket No. 5362-000446/DVA First Inventor Michael T. Willard HARD-TOP CONVERTIBLE ROOF SYSTEM Title

TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Express Mail Label No. EV 034132045US Mail Stop Patent Application **APPLICATION ELEMENTS** Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria, VA 22313-1450 1. Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) з. 🔯 Specification Total Pages a. Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or Specification - Cross References to Related Applications filed in English ii. 🔲 paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** - Background of the Invention - Brief Summary of the Invention 9. 🔲 Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) - Claim(s) Attorney - Abstract of the Disclosure 11. 🔲 English Translation Document (if applicable) 4. 🛛 Drawing(s) (35 U.S.C.113) [Total Sheets 18 12. Copies of IDS Information Disclosure Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration [Total Pages 3 13. **Preliminary Amendment** a. Newly executed (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) Certified Copy of Priority Document(s) 15. 🔲 i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🔲 Request and Non Publication under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 Other: Submission of Executed Declaration and Power of Attorney; Reference to Recorded Assignment of Original **Application** 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation ☑ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09 / 930,739 Examiner Patricia Lynn Engle Prior application information: Group / Art Unit: 3612 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS 27572 or \square Correspondence address below ☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Harness, Dickey & Pierce, P.L.C. Name P.O. Box 828 Address City **Bloomfield Hills** М 48303 State Zip Code Country United States of America Telephone 248-641-1600 Fax 248-641-0270 Name (Print/Type) Monte L. Falcoff Registration No. (Attorney/Agent) 37,617 Signature Date

PTO/SB/17 (01-03)

Approved for use through 10/31/2002, OMB 0651-0032

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	Complete if Known					
FEE TRANSMITTAL	Application Number					
for FY 2003	Filing Date	Herewith				
	First Named Inventor	Michael T. Willard				
Patent fees are subject to annual revision.	Examiner Name					
☐ Applicant claims small ntity status. See 37 CFR 1.27	Group / Art Unit					
TOTAL AMOUNT OF PAYMENT (\$) 1260	Attorney Docket No.	5362-000446/DVA				

TOTAL AUTOM OF TATMENT (4) 1200						Allomey Bocket No.							
METHOD OF PAYMENT (check all that apply)						FEE CALCULATION (continued)							
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☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order ☐ Deposit Account:					Large	Entity	Small E	ntity					
		11:						Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Pald
Deposit Accoun		50-0275						1051	130	2051	65	Surcharge - late filing fee or oath	
Number						1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.			
Deposit						1053	130	1053	130	Non-English specification			
Account ASC Incorporated					1812	2,520	1812	2,520	For filing a request for reexamination				
Name The Commissioner is authorized to: (check all that apply)							1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
⊠ Charge fee(s) indicated below ⊠ Credit any overpayments ⊠ Charge any additional fee(s) during the pendency of this application							1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
☐ Charge	fee(s) ir	idicated be	low,	except for th				1251	110	2251	55	Extension for reply within first month	
to the above-identified deposit account. FEE CALCULATION							1252	410	2252	205	Extension for reply within second month		
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1. BAS Large Entity	1	NG FEE Small Entity	Ĺ					1254	1,450	2254	725	Extension for reply within fourth	
		ee Fee	<u> </u>	ee Description	<u>n</u>			1255	1,970	2255	985	Extension for reply within fifth month	
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		001 375		Jtility filing fee		750	4	1402	320	2402	160	Filing a brief in support of an appeal	
		002 165		Design filing fe	9		1	1403	280	2403	140	Request for oral hearing	-
		003 260		Plant filing fee			-					Petition to institute a public use	
		004 375 005 80		Reissue filing f Provisional fillii				1451	1,510	1451	1,510	proceeding	
					_	1452	110	2452	55	Petition to revive – unavoidable	\vdash		
SUBTOTAL (1) (\$) 750					J	1453	1,300	2453	650	Petition to revive – unintentional			
2. EXTRA CLAIM FEES						1501	1,300	2501	650	Utility issue fee (or reissue)			
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			_	Claims	below	Paid		1503	630	2503	315	Plant issue fee	\vdash
Total Claims	39	-20 **	= [19 X	18	= 342]	1460	130	1460	130	Petitions to the Commissioner	\square
Independent Claims	5	-3 **	= [2 X	84	= 168]	1807 1806	50 180	1807 1806	50 180	Processing fee under 37 CFR 1.17 (q) Submission of Information Disclosure	
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Large Entit	v	Small E	ntity		L		j	8021	40	8021	40	per property (times number of properties)	
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1202	18	2202	9	Claims in	excess of 20	0		1810	750	2810	375	For each additional invention to be	
1201	84	2201	42	Independe	nt claims in	excess of 3						examined (37 CFR § 1.129(b))	
1203	280	2203	140			aim, if not paid		1801	750	2801	375	Request for Continued Examination (RCE))]
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SUBMITTED BY				C	Complete (if applicable)		
Name (Print/Type)	Monte L. Falcoff	Registration No. Attorney/Agent)	37,617	Telephone	(248) 641-1600		
Signature	ny	AM		Date	Aug. 19, 7003		

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Att rney D cket N . 5362-000446/DVA

Application No.:

Filing Date:

Herewith

Applicant:

Michael T. Willard

Group Art Unit:

Examiner:

Title:

HARD-TOP CONVERTIBLE ROOF SYSTEM

Mail Stop Patent Application
Director of the United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

REFERENCE TO RECORDED ASSIGNMENT OF ORIGINAL APPLICATION

Sir:

This application is a divisional application of U.S. Serial 09/930,739. A prior assignment of the original application is recorded in the U.S. Patent and Trademark Office at Reel 012819, Frame 0479 and a copy of the assignment is provided herewith. This assignment recorded against the original application gives the assignee rights to the subject matter common to both applications.

Respectfully submitted

Monte L. Falcoff Reg. No. 37,617

Attorney for Applicant

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, MI 48303 (248) 641-1600

Date: August $\frac{19}{2}$, 2003